

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2019

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: EMPIRE INSURANCE COMPANY		DATE REGISTERED: 12/6/1949
BUSINESS/TRADE NAME: EMPIRE INSURANCE COMPANY		FISCAL YEAR END: December 31
SEC REGISTRATION NUMBER: 4786		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 000-452-719-000
DATE OF ANNUAL MEETING PER BY-LAWS: 3rd Thursday of April		WEBSITE/URL ADDRESS: empireinsurance.co
ACTUAL DATE OF ANNUAL MEETING: 25-Apr-19		E-MAIL ADDRESS: empire_insurance@yahoo.com
COMPLETE PRINCIPAL OFFICE ADDRESS: 2nd Floor B & P Building, 843 A. Arnaiz Avenue, Legaspi Village 1229, Makati City, Philippines		FAX NUMBER: 815-2599
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: M.A. MERCADO & CO. signing partner: Marcelino A. Mercado		TELEPHONE NUMBER(S): 815-9561 to 65
SEC ACCREDITATION NUMBER (if applicable): 1533-A (Group C)		
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: NON-LIFE INSURANCE	INDUSTRY CLASSIFICATION: NON-LIFE INSURANCE	GEOGRAPHICAL CODE: N/A

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
None	N/A	N/A
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
None	N/A	N/A

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name:

EMPIRE INSURANCE COMPANY

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input checked="" type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
	<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>
	<p>8. <input type="checkbox"/> None of the above</p>
	<p>Describe nature of business: NON-LIFE INSURANCE</p>

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? Yes No

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: **EMPIRE INSURANCE COMPANY**

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	COMMON	2,500,000	100.00	250,000,000.00
	REDEEMABLE, CONVERTIBLE, PREFERRED	3,000,000	100.00	300,000,000.00
TOTAL		5,500,000	TOTAL P	550,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	126	COMMON	2,499,748		100.00	249,974,800.00	55.55
	33	PREFERRED	2,000,000		100.00	200,000,000.00	44.44
TOTAL			4,499,748	TOTAL	TOTAL P	449,974,800.00	99.99

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
American	2	COMMON	252		100.00	25,200.00	0.01
TOTAL			252	TOTAL	TOTAL P	25,200.00	0.01
Percentage of Foreign Equity :						TOTAL SUBSCRIBED P	450,000,000.00
						450,000,000.00	100.00

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	126	COMMON	2,499,748	100.00	249,974,800.00	55.55
	33	PREFERRED	2,000,000	100.00	200,000,000.00	44.44
TOTAL			4,499,748	TOTAL P	449,974,800.00	99.99
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
American	2	COMMON	252	100.00	25,200.00	0.01
0.01 %			TOTAL	TOTAL P	25,200.00	0.01
TOTAL PAID-UP P					450,000,000.00	100.00

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:		EMPIRE INSURANCE COMPANY						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Augusto Benedicto L. Santos	Filipino	N	C	M	Y	N/A	N/A	122-950-504
2. Jose L. Santos	Filipino	N	M	M	Y	N/A	N/A	122-950-596
3. Blanguita S. Gonzalez	Filipino	N	M	F	Y	N/A	A/M	108-138-659
4. Christian S. Monsod	Filipino	N	I	M	Y	N/A	A/C, N/M R/C	137-470-983
5. Jose Ma. S. Lopez	Filipino	N	I	M	Y	N/A	A/M, N/C R/M	123-278-912
6. Rafael F. Simpaio, Jr.	Filipino	N	I	M	Y	N/A	A/M	123-467-744
7. Jose Ma. G. Santos	Filipino	N	M	M	Y	Pres. & CEO.	N/A	108-115-603
8. Roman L. Santos	Filipino	N	M	M	Y	VP & TREAS.	N/M, R/M	122-950-714
9. Vicente Roman S. Santos	Filipino	N	M	M	Y	CORP. SEC COMP OFCR	N/A	113-589-220
10. Michael L. Escaler	Filipino	N	M	M	Y	N/A	N/A	106-102-918
11. Elvis E. Dela Peña	Filipino	N		M	N	VP-R & U	N/A	127-489-483
12. Adoracion C. Mañebo	Filipino	N		F	N	VP-INS.	N/A	104-149-038
13. Meriam M. Fernandez	Filipino	N		F	N	VP-FINANCE & ADMIN	N/A	123-516-184
14. Antonio F. Sabuga	Filipino	N		M	N	MKTG. DIR VIS- MIN	N/A	108-535-579
15. Miriam W. Reyna	Filipino	N		M	N	A.TREAS A.CORP SEC. COMP OFCR	N/A	123-516-449
INSTRUCTION: FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **EMPIRE INSURANCE COMPANY**

TOTAL NUMBER OF STOCKHOLDERS: **161** **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** **146**

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: **PHP 1,002,455,086.00**

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1 Jose L. Santos Filipino	Common	489,723	48,972,300.00	19.59%	48,972,300.00	122-950-596
	TOTAL	489,723	48,972,300.00			
2. Augusto Benedicto L. Santos Filipino	Common	128,176	12,817,600.00	5.13%	12,817,600.00	122-950-504
	TOTAL	128,176	12,817,600.00			
3. Roman L Santos Filipino	Common	99,795	9,979,500.00	2.99%	9,979,500.00	122-950-714
	TOTAL	99,795	9,979,500.00			
4. Blanquita S. Gonzalez Filipino	Common	98,372	9,837,200.00	3.93%	9,837,200.00	108-138-659
	TOTAL	98,372	9,837,200.00			
5. Vicente Roman S. Santos Filipino	Common	48,458	4,845,800.00	1.94%	8,849,300.00	113-589-220
	Preferred	40,035	4,003,500.00			
	TOTAL	88,493	8,849,300.00			
6. Jose Ma. G. Santos Filipino	Common	45,528	4,552,800.00	1.82%	8,195,500.00	108-115-603
	Preferred	36,427	3,642,700.00	1.82%		
	TOTAL	81,955	8,195,500.00			
7. Christian S. Monsod Filipino	Common	1	100.00	1.00%	2,000,100.00	137-470-983
	Preferred	20,000	2,000,000.00			
	TOTAL	20,001	2,000,100.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				40.22%	100,651,500.00	
TOTAL AMOUNT OF PAID-UP CAPITAL					100,651,500.00	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		EMPIRE INSURANCE COMPANY				
TOTAL NUMBER OF STOCKHOLDERS:		161		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		146
TOTAL ASSETS BASED ON LATEST AUDITED FS:		Php1,002,455,086.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8. Rafael F. Simpao, Jr. Filipino	Common	1	100.00		100.00	123-467-744
	TOTAL	1	100.00			
9. Michael L. Escaler Filipino	Common	1	100.00		100.00	106-102-918
	TOTAL	1	100.00			
10. Jose Ma. S. Lopez Filipino	Common	1	100.00		100.00	123-278-912
	TOTAL	1	100.00			
11. Thrace Oceanus Holdings, Inc. Filipino	Preferred	449,901	44,990,100.00	22.50%	44,990,100.00	007-561-324
	TOTAL	449,901	44,990,100.00			
12. Frangipani Holdings Corp. Filipino	Preferred	150,000	15,000,000.00	7.50%	15,000,000.00	008-847-195
	TOTAL	150,000	15,000,000.00			
13. Sanada Quest Holdings, Inc. Filipino	Preferred	150,000	15,000,000.00	7.50%	15,000,000.00	008-761-774
	TOTAL	150,000	15,000,000.00			
14. Alessandro G. Santos Filipino	Common	80,236	8,023,600.00	3.21%	14,443,100.00	106-210-308
	Preferred	64,195	6,419,500.00	3.21%		
	TOTAL	144,431	14,443,100.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				43.92%	89,433,500.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		EMPIRE INSURANCE COMPANY				
TOTAL NUMBER OF STOCKHOLDERS:		161		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH		
				146		
TOTAL ASSETS BASED ON LATEST AUDITED FS:		Php 1,002,455,086.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. Herran Land Ventures, Inc. Filipino	Preferred	102,000	10,200,000.00	5.10%	10,200,000.00	008-115-246
	TOTAL	102,000	10,200,000.00			
16. Sylvia S. Tantuico Filipino	Common	3,054	305,400.00	0.12%	9,620,700.00	108-138-730
	Preferred	93,153	9,315,300.00	4.66%		
	TOTAL	96,207	9,620,700.00			
17. Virginia Belinda S. Ocampo Filipino	Common	3,054	305,400.00	0.12%	9,620,800.00	108-138-303
	Preferred	93,154	9,315,400.00	4.66%		
	TOTAL	96,208	9,620,800.00			
18. Santiago S. Syjuco III Filipino	Common	3,054	305,400.00	0.12%	9,620,800.00	106-521-003
	Preferred	93,154	9,315,400.00	4.66%		
	TOTAL	96,208	9,620,800.00			
19. Susan S. Luk Filipino	Common	3,054	305,400.00	0.12%	9,620,700.00	148-018-036
	Preferred	93,153	9,315,300.00	4.66%		
	TOTAL	96,207	9,620,700.00			
20. United 3 in 1 Holdings, Inc. Filipino	Preferred	94,350	9,435,000.00	4.72%	9,435,000.00	009-684-915
	TOTAL	94,350	9,435,000.00			
21. OTHERS (Indicate the number of the remaining stockholders) Filipino - 111 American - 2 Filipino - 20					201,797,000.00	various
	Common	1,497,240	149,724,000.00	59.89%		
	Common	252	25,200.00	0.01%		
	Preferred	520,479	52,047,800.00	26.02%		
	TOTAL		201,797,000.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				114.86%	259,915,000.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

----- PLEASE PRINT LEGIBLY -----

CORPORATE NAME: EMPIRE INSURANCE COMPANY			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	261,788,969.00	January 29, 1970	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	177,067,840.00	May 25, 2009	
1.3 LOANS/ CREDITS/ ADVANCES	N/A	N/A	
1.4 GOVERNMENT TREASURY BILLS	165,967,000.00	July 30, 1970	
1.5 OTHERS	N/A	N/A	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
	N/A	N/A	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	235	0.01	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR P206,680,930.00			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	P12,000,000.00	Aug 7 and Nov.22, 2018	
5.2 STOCK	none	none	
5.3 PROPERTY	none	none	
TOTAL	P12,000,000.00		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: Sec. Approval June 14, 2018 Inc. in AC.			
DATE	NO. OF SHARES	AMOUNT	
July 7, 2018	2,000,000	P200,000,000.00	
	Redeemable Convertible Preferred Shares		
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	IC
TYPE OF LICENSE/REGN.	No. 4786	N/A	Certificate of Authority 2019/04-R valid until Dec. 31, 2021
DATE ISSUED:	Dec. 06, 1949	N/A	February 12, 2019
DATE STARTED OPERATIONS:	1949	N/A	January 2, 1950
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
2,386,086.67	17	38	55

NOTE: USE ADDITIONAL SHEET IF NECESSARY

BENEFICIAL OWNERSHIP DECLARATION

A. Natural person who ultimately owns or controls the corporation:

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension [i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.

B. Natural person who exercises ultimate effective control[1] over the corporation:

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension [i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.

CORPORATE STOCKHOLDERS:[2]

ULTIMATE BENEFICIAL OWNER (3)

CORPORATE NAME AND BUSINESS/TRADE NAME	COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension[i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.

OTHER STOCKHOLDERS:

A. PARTNERSHIPS

ULTIMATE BENEFICIAL OWNER[4]

PARTNERSHIP NAME	COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension[i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.

B. TRUSTS

ULTIMATE BENEFICIAL OWNER[5]

NAME	COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension[i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.

1. Refer to the definition of ultimate effective control under Section 2.2. of SEC Memorandum Circular No. 17, series of 2018.
2. Indicate the intermediate layers of the company's ownership structure, if any, in a separate sheet and attach an ownership chart clearly showing the intermediate layers with their respective ownership amounts.
3. Refer to the definition of beneficial owner under Sections 2.1 and 2.2. of SEC Memorandum Circular No. 17, series of 2018.
4. Refers to the natural person who ultimately owns or controls the partnership or who exercises ultimate effective control over the partnership.
5. Identity of the persons who control the trust and make the investment decisions; trust beneficiaries and the trustee; and individuals who furnish funds into the trust.

I, **VICENTE ROMAN S. SANTOS**, Corporate Secretary of **EMPIRE INSURANCE COMPANY** declare under penalty of perjury that all matters set forth in this GIS have been duly verified by me and to the best of my knowledge and belief are true and correct.


By indicating herein the personal information of the incorporators, stockholders, directors, officers, beneficial owners, external auditor, notary public and their agents, I attest that the aforementioned persons authorize the SEC to: (1) collect, process and store their personal information through the GIS forms and related documents, for the period allowed under the applicable laws and regulations; and (2) share with and make available the GIS to interested parties in order to pursue lawful purposes and legitimate interests, and comply with legal mandate.

I also attest that the company and the aforementioned persons authorized the undersigned to file this GIS with SEC, and the information provided herein are true, accurate, timely and complete.

I further attest that they are aware of their rights under the Data Privacy Act, including the right to be informed, to access, to object, to erasure or blocking, to damages, to file a complaint, to rectify and to data portability, and understand that there are procedures, conditions and exceptions to be complied with in order to exercise or invoke such rights.

I likewise understand that the failure of the corporation to file this GIS for five (5) consecutive years shall be construed as non-operation of the corporation and a ground for the revocation of the corporations' certificate of incorporation in this eventuality, the corporation hereby waives its right to hearing for the said revocation.

Done this _____ day of MAY 20 2019, 2019 in Makati City, Philippines.


VICENTE ROMAN S. SANTOS
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in Makati City on MAY 20 2019 by affiant who personally appeared before me and exhibited to me his competent evidence of identity consisting of Philippine Passport No.P099421B bearing his photograph and signature issued by DFA MANILA on 11 March 2019 and valid until 10 March 2029.

NOTARY PUBLIC

MYRA S.J. SAN BUENAVENTURA-PANGAN
Notary Public Com. No. 15 for Makati until 12.31.20
Roll No. 51202
PTR No. 7334212/ 1.03.19 / Makati
IBP No. 055349 / 11.23.18 / For 2019/Cam.Sur
403B, MG Bldg., 150 Amorsolo Street
Legaspi Village, 1229 Makati (Tel. 871-4417)
MCLE Compliance No. VI-0005315(01.10.2018)

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