

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: EMPIRE INSURANCE COMPANY		DATE REGISTERED: Dec. 06, 1949
BUSINESS/TRADE NAME: EMPIRE INSURANCE COMPANY		FISCAL YEAR END: December 31
SEC REGISTRATION NUMBER: 4786		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 000-452-719-000
DATE OF ANNUAL MEETING PER BY-LAWS: 3RD THURSDAY OF APRIL		WEBSITE/URL ADDRESS: empireinsurance.co
ACTUAL DATE OF ANNUAL MEETING: 13-Jul-20		E-MAIL ADDRESS: empire_insurance@yahoo.com
COMPLETE PRINCIPAL OFFICE ADDRESS: 2nd Floor B & P Building, 843 A. Arnaiz Avenue, Legaspi Village 1229, Makati City, Philippines		FAX NUMBER: 8815-2599
COMPLETE BUSINESS ADDRESS: 2nd Floor B & P Building, 843 A. Arnaiz Avenue, Legaspi Village 1229, Makati City, Philippines		TELEPHONE NUMBER(S): 8815-9561 to 65; 8815-9656-62
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: M.A. MERCADO & CO. Signing Partner: Marcelino A. Mercado		SEC ACCREDITATION NUMBER (if applicable): 1533-A (Group C)
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: NON-LIFE INSURANCE	INDUSTRY CLASSIFICATION: NON-LIFE INSURANCE	GEOGRAPHICAL CODE: N/A

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
None	N/A	N/A
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
None	N/A	N/A

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name: **EMPIRE INSURANCE COMPANY**

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <p><input checked="" type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
	<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>
	<p>8. <input type="checkbox"/> None of the above</p>
	<p>Describe nature of business: NON-LIFE INSURANCE</p>

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? Yes No

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		EMPIRE INSURANCE COMPANY					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	COMMON	2,500,000	100.00	250,000,000.00			
	REDEEMABLE, CONVERTIBLE, PREFERRED	3,000,000	100.00	300,000,000.00			
TOTAL		5,500,000	TOTAL P	550,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	123	COMMON	2,499,748		100.00	249,974,800.00	55.55
	33	PREFERRED	2,000,000		100.00	200,000,000.00	44.44
TOTAL			4,499,748	TOTAL	TOTAL P	449,974,800.00	99.99
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
American	2	COMMON	252		100.00	25,200.00	0.01
Percentage of Foreign Equity :			TOTAL	TOTAL	TOTAL P	25,200.00	0.01
TOTAL SUBSCRIBED P						450,000,000.00	100.00
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	123	COMMON	2,499,748	100.00	249,974,800.00	55.55	
	33	PREFERRED	2,000,000	100.00	200,000,000.00	44.44	
TOTAL			4,499,748	TOTAL P	449,974,800.00	99.99	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
American	2	COMMON	252	100.00	25,200.00	0.01	
0.01 %			TOTAL	TOTAL P	25,200.00	0.01	
TOTAL PAID-UP P					450,000,000.00	100.00	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:		EMPIRE INSURANCE COMPANY						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Augusto Benedicto L. Santos 1742 Banyan Rd. Dasmariñas Village Makati City	Filipino	N	C	M	Y	N/A	N/A	122-950-504
2. Jose L. Santos 43 Narra Ave., South Forbes, Makati City	Filipino	N	M	M	Y	N/A	N/A	122-950-596
3. Blanquita S. Gonzalez 43 Cambridge Circle, North Forbes, Makati City	Filipino	N	M	F	Y	N/A	A/M	108-138-659
4. Christian S. Monsod 2034 Morado St., Dasmañas Village, Makati City	Filipino	N	I	M	Y	N/A	A/C N/M R/C	137-470-983
5. Jose Ma. S. Lopez 9 Intsia Road, South Forbes, Makati City	Filipino	N	I	M	Y	N/A	A/M N/C R/M	123-278-912
6. Rafael F. Simpao, Jr. 384 Bartolome Street, Ayala Alabang Village, Muntinlupa City	Filipino	N	I	M	Y	N/A	A/M	123-467-744
7. Jose Ma. G. Santos 2502-A Wack Wack Twin Towers Condo Wack Wack Rd. Mandaluyong City	Filipino	N	M	M	Y	Pres. & CEO	N/A	108-115-603
8. Roman L. Santos 101 Dama de Noche St., Ayala Alabang Village, Muntinlupa City	Filipino	N	M	M	Y	VP & Treas.	N/M R/M	122-950-714
9. Vicente Roman S. Santos 18 Mount Cameron St. Fairmount Hills Subdivision, Brgy dela Paz, Antipolo City	Filipino	N	M	M	Y	Corp. Sec. Comp. Ofcr	N/A	113-589-220
10. Michael L. Escaler 1580 Cypress St., Dasmariñas Village, Makati City	Filipino	N	M	M	Y	N/A	N/A	106-102-918
11. Magdalena S. Martinez 43 Narra Ave., South Forbes, Makati City	Filipino	N	M	F	Y	N/A	N/A	101-540-950
12. Elvis E. Dela Peña Block 4 Lot 8 Town & Country Southville, Biñan City, Laguna	Filipino	N	N/A	M	N	VP-R &U	N/A	127-489-483
13. Adoracion C. Mañebo 113 Pari Street, Green Park Village, Manggahan, Pasig City	Filipino	N	N/A	F	N	VP-INS	N/A	104-149-038
14. Meriam M. Fernandez Block 12 Lot 1 Barcelona Homes, Imus, Cavite City	Filipino	N	N/A	F	N	VP Finance & Admin	N/A	123-516-184
15. Antonio F. Sabuga 43 Villa del Rio 2 Subd., Pit-os Cebu City	Filipino	N	N/A	M	N	Mktg Dir. Vis-Min	N/A	108-535-579
16. Miriam W. Reyna 74 7th Ave., Cubao, Quezon City	Filipino	N	N/A	F	N	A.Treas. A. Corp Sec.	N/A	123-516-449

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET

STOCK CORPORATION

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CORPORATE NAME: **EMPIRE INSURANCE COMPANY**

TOTAL NUMBER OF STOCKHOLDERS: 158 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARE:** 140

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: PhP1,941,780,541.00

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER	
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP			
1. Jose L. Santos Filipino 43 Narra Ave., South Forbes, Makati City	Common	489,723	48,972,300.00	19.59%	48,972,300.00	122-950-596	
	TOTAL	489,723	48,972,300.00				
2. Augusto Benedicto L. Santos Filipino 1742 Banyan Road, Dasmariñas Village, Makati City	Common	128,176	12,817,600.00	5.13%	12,817,600.00	122-950-504	
	TOTAL	128,176	12,817,600.00				
3. Roman L. Santos Filipino 101 Dama de Noche St., Ayala Alabang Village, Muntinlupa City	Common	99,795	9,979,500.00	3.99%	9,979,500.00	122-950-714	
	TOTAL	99,795	9,979,500.00				
4. Blanquita S. Gonzalez Filipino 43 Cambridge Circle, North Forbes Park, Makati City	Common	98,372	9,837,200.00	3.93%	9,837,200.00	108-138-659	
	TOTAL	98,372	9,837,200.00				
5. Vicente Roman S. Santos Filipino 18 Mount Cameron St. Fairmount Hills, Antipolo City	Common	48,458	4,845,800.00	1.94%	8,849,300.00	113-589-220	
	Preferred	40,035	4,003,500.00				2.00%
	TOTAL	88,493	8,849,300.00				
6. Jose Ma. G. Santos Filipino 2502-A Wack-Wack Twin Towers Condo, Wack Wack Road, Mandaluyong City	Common	45,528	4,552,800.00	1.82%	8,195,500.00	108-115-603	
	Preferred	36,427	3,642,700.00				1.82%
	TOTAL	81,955	8,195,500.00				
7. Christian S. Monsod Filipino 2034 Morado Street, Dasmariñas Village, Makati City	Common	1	100.00	1.00%	2,000,100.00	137-470-983	
	Preferred	20,000	2,000,000.00				
	TOTAL	20,001	2,000,100.00				
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				41.22%	100,651,500.00		
TOTAL AMOUNT OF PAID-UP CAPITAL							

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		EMPIRE INSURANCE COMPANY				
TOTAL NUMBER OF STOCKHOLDERS:		158		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 140		
TOTAL ASSETS BASED ON LATEST AUDITED FS:		PhP1,941,780,541.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. Rafael F. Smpao, Jr. Filipino 384 Bartolome Street, Ayala Alabang Village, Muntinlupa City	Common	1	100.00		100.00	123-467-744
	TOTAL	1	100.00			
9. Jose Ma. S. Lopez Filipino 9 Intsia Road, South Forbes, Makati City	Common	1	100.00		100.00	123-278-912
	TOTAL	1	100.00			
10. Michael L. Escaler Filipino 1580 Cypress Street, Dasmariñas Village, Makati City	Common	1	100.00		100.00	106-102-918
	TOTAL	1	100.00			
11. Magdalena S. Martinez Filipino 43 Narra Avenue, South Forbes, Makati City	Common	12,101	1,210,100.00	0.48%	1,210,100.00	101-540-590
	TOTAL	12,101	1,210,100.00			
12. Thrace Oceanus Holdings, Inc. Filipino 104 H.V. Dela Costa Street, Salcedo Village, Makati City	Preferred	449,901	44,990,100.00	22.50%	44,990,100.00	007-561-324
	TOTAL	449,901	44,990,100.00			
13. Frangipani Holdings, Corp. Filipino Sunburst Farm, Barangay Bolbok, Lipa City, Batangas	Preferred	150,000	15,000,000.00	7.50%	15,000,000.00	008-847-195
	TOTAL	150,000	15,000,000.00			
14. Sanada Quest Holdings, Inc. Filipino Unit 1515 Entrata Urban Complex, 2606 Civic Drive, Filinvest, Alabang, Muntinlupa City	Preferred	150,000	15,000,000.00	7.50%	15,000,000.00	008-761-774
	TOTAL	150,000	15,000,000.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				37.98%	76,200,500.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

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STOCK CORPORATION**

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CORPORATE NAME:		EMPIRE INSURANCE COMPANY				
TOTAL NUMBER OF STOCKHOLDERS:		158	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		140	
TOTAL ASSETS BASED ON LATEST AUDITED FS:		PhP1,941,780,541.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
15. Alessandro G. Santos Filipino Unit 14-P Malate Bayview Mansion, M. Adriatico Street, Malate Manila	Common	80,236	8,023,600.00	3.21%	14,443,100.00	106-210-308
	Preferred	64,195	6,419,500.00	3.21%		
	TOTAL	144,431	14,443,100.00			
16. Herran Land Venture, Inc. Filipino 5/F 111 Paseo de Roxas Building, 111 Paseo de Roxas Street, Makati City	Preferred	102,000	10,200,000.00	5.10%	10,200,000.00	008-115-246
	TOTAL	102,000	10,200,000.00			
17. Sylvia S. Tantulco Filipino 204 Bougainvillea Street, Ayala Alabang Village, Muntinlupa City	Common	7,035	703,500.00	0.28%	10,018,800.00	108-138-730
	Preferred	93,153	9,315,300.00	4.66%		
	TOTAL	100,188	10,018,800.00			
18. Virginia Belinda S. Ocampo Filipino 210 Calachuchi Street, Ayala Alabang Village, Muntinlupa City	Common	7,036	703,600.00	0.20%	10,019,000.00	108-138-303
		93,154	9,315,400.00	4.66%		
	TOTAL	100,190	10,019,000.00			
19. Santiago S. Syjuco III Filipino 322 Bougainvillea Street, Ayala Alabang Village, Muntinlupa City	Common	7,036	703,600.00	0.28%	10,019,000.00	106-521-003
	Preferred	93,154	9,315,400.00	4.66%		
	TOTAL	100,190	10,019,000.00			
20. Susan S. Luk Filipino 452 Ma. Cristina Street, Ayala Alabang Village, Muntinlupa City	Common	7,035	703,500.00	0.28%	10,018,800.00	148-018-036
	Preferred	93,153	9,315,300.00	4.66%		
	TOTAL	100,188	10,018,800.00			
21. United 3 in 1 Holdings, Inc. Filipino 1603 Medical Plaza Makati, Dela Rosa Street, Legaspi Village, Makati City	Preferred	94,350	9,435,000.00	4.72%	9,435,000.00	009-684-915
	TOTAL	94,350	9,435,000.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				35.92%	74,153,700.00	
TOTAL AMOUNT OF PAID-UP CAPITAL					74,153,700.00	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

**GENERAL INFORMATION SHEET
STOCK CORPORATION**

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CORPORATE NAME:		EMPIRE INSURANCE COMPANY				
TOTAL NUMBER OF STOCKHOLDERS:		158	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		140	
TOTAL ASSETS BASED ON LATEST AUDITED FS:		PhP1,941,780,541.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
22. Bella S. Barrera Filipino 32 Pili Street, South Forbes, Makati City	Common	90,346	9,034,600.00	3.61%	9,034,600.00	108-137-456
	TOTAL	90,346	9,034,600.00			
23. Nella S. Fanflo Filipino 334 Alitagtag Street, Ayala Alabang Village, Muntinlupa City	Common	90,346	9,034,600.00	3.61%	9,034,600.00	205-045-020
	TOTAL	90,346	9,034,600.00			
24. Virginia S. Syjuco Filipino 211 Bougainvillea Street, Ayala Alabang Village, Muntinlupa City	Common	90,346	9,034,600.00	3.61%	9,034,600.00	108-138-706
	TOTAL	90,346	9,034,600.00			
25. Gloria S. Vergel de Dios Filipino 24 Maysan Road, Marikina, Valenzuela, Metro Manila	Common	88,139	8,813,600.00	3.52%	8,813,600.00	143-532-742
	TOTAL	88,139	8,813,600.00			
26. Juliana Sison Filipino 16 Tamarind Road, South Park, Makati City	Common	79,467	7,946,700.00	3.18%	7,946,700.00	108137-342
	TOTAL	79,467	7,946,700.00			
27. OTHERS (Indicate the number of the remaining stockholders) Filipino - 102 American - 2 Filipino - 21	Common	1,030,572	103,057,200.00	0.42%	155,130,200.00	various
	Common	252	25,200.00	0.01%		
	Preferred	520,478	52,047,800.00	26.02%		
	TOTAL	1,551,302	155,130,200.00			
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				43.98%	198,994,300.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

PLEASE PRINT LEGIBLY			
CORPORATE NAME: EMPIRE INSURANCE COMPANY			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	245,873,041.00	January 29, 1970	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	190,667,500.00	May 25, 2009	
1.3 LOANS/ CREDITS/ ADVANCES	N/A	N/A	
1.4 GOVERNMENT TREASURY BILLS	165,988,600.00	July 30, 1970	
1.5 OTHERS	N/A	N/A	
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
	N/A	N/A	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	235	0.01	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			241,504,053.00
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	12,000,000.00	May and Oct.28,2019	
5.2 STOCK	none	none	
5.3 PROPERTY	none	none	
TOTAL	12,000,000.00		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
none	none	none	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	No. 4786	N/A	Certificate of Authority 2019/04-R valid until Dec. 31, 2021
DATE ISSUED:	Dec. 06, 1949	N/A	February 12, 2019
DATE STARTED OPERATIONS:	1949	N/A	January 2, 1950
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
PHP577,500.00	18	42	60

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **VICENTE ROMAN S. SANTOS**, Corporate Secretary of **EMPIRE INSURANCE COMPANY** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).


Done this JUL 14 2020 day of _____, 2020 in CITY OF MAKATI.


VICENTE ROMAN S. SANTOS

Signature over printed name)

SUBSCRIBED AND SWORN TO before me in CITY OF MAKATI on JUL 14 2020 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Philippine Passport No. P099421B bearing his photograph and signature issued by DFA Manila on 11 March 2019 and valid until 10 March 2029.

Doc No. 258
Page No. 73
Book No. XXXIII
Series of 2020.


NOTARY PUBLIC
MYRA SAN BUENAVENTURA PANGAN
Notary Public for Makati until 12.31.2020
Roll No. 51202
PTR 8116423/1.03.20/Makati
IBP 099749/12.20.19/For 2020/Cam.Sur
Unit 403B, MG Bldg., 150 Amorsolo St.
Legaspi Village, 1229 Makati (Tel. 8871-4417)
MCIF Compliance No. VI-0005315 (01.10.2018)

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07/16/2020

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Maria Theresa D. Mabuyo

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07/16/2020

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Ma. Lorelei M. Liwanag

Acknowledgement Notice Re: Empire Insur...

07/16/2020

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✓ Ma. Lorelei M. Liwanag

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07/16/2020

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Empire Insurance Company - GENERAL INFORMATION SHEET 2020 from info@empireinsura Today, 03:29:07 PM +08

4/16/2020

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Good Afternoon!

We are forwarding herewith the Empire Insurance Company GENERAL INFORMATION SHEET 2020 and the Corporate Secretary Certification.

Please acknowledge receipt.

Thank You

Miriam Reyna
Empire Insurance Company

Text (1 KB)

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Text (1 KB)

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